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Atty Docket No. 10269/13

#16 CW -  
11-7-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Timothy M. Keiser et al.  
App. No. : 09/465,607 Examiner: Clement B. Graham  
Filed : December 17, 1999 Group Art Unit: 3628  
Title : COMPUTER-IMPLEMENTED SECURITIES TRADING  
SYSTEM WITH VIRTUAL CURRENCY AND VIRTUAL  
SPECIALIST FUNCTION

Commissioner for Patents  
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

SIR:

The applicants respectfully submit herewith an Information Disclosure Statement (IDS), an Information Disclosure Citation List, and a copy of each document listed therein, pursuant to 37 C.F.R. §§ 1.97 and 1.98. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

This IDS is being filed under 37 CFR 1.97(b). Specifically, this IDS is being filed before the mailing of a first Office Action after the filing of a request for continued examination (RCE).

Thus, no fee is believed to be due. However, should any fee be due, the Commissioner is hereby authorized to charge any deficiency to the undersigned attorney's Deposit Account No. 02-4270.

Dated: 10/25/02

Respectfully submitted,

I hereby certify that this paper is being deposited  
this date with the U.S. Postal Service with sufficient  
postage as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

James J. Woods  
James J. Woods, Reg. No. 47,184

10/25/02  
Date

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